

04/07/98

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(only for new nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No. **98B017/2**First Inventor or Application Number **John R. Shutt**Title **Polymerization Process**Express Mail Label No. **EM354492964US****APPLICATION ELEMENTS**

ADDRESS TO:

**Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231**1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)2. ☒ Specification Total Pages **66**

- (preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross References to Related Applications (if any)
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure

3. ☐ Drawing(s) (35 U.S.C. 113) Total Sheets 4. Oath or Declaration Total Pages 

- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d)  
(for continuation/division with Box 15 completed)  
[Note Box 5 below]
- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Incorporation By Reference (useable if Box 4B is checked)

The entire disclosure of the prior application, from which a copy of the oath or  
declaration is supplied under Box 4b, is considered to be part of the disclosure of the  
accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)**ACCOMPANYING APPLICATION PARTS**7. ☐ Assignment Papers (cover sheet & document(s))8. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)9. ☐ English Translation Document (if applicable)10. ☐ Information Disclosure Statement (IDS)/PTO-1449☐ Copies of IDS Citations11. ☐ Preliminary Amendment12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)13. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)14. ☐ Other:

15. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment.

☐ Continuation☐ Divisional☐ Continuation-in-part (CIP)

of prior application No: \_\_\_\_\_, from which priority is claimed under 35 U.S.C. § 120

Prior application information: Examiner \_\_\_\_\_

Group Art Unit \_\_\_\_\_

16. If a NONPROVISIONAL APPLICATION based upon a foreign or U.S. provisional application, priority is claimed from application

No. \_\_\_\_\_, country \_\_\_\_\_, filed on \_\_\_\_\_.

**17. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Labelor ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name **Exxon Chemical Company**Address **P.O. Box 2149**City **Baytown**State **Texas**Zip Code **77522**Country **U.S.A.**Telephone **281-834-5424**Fax **281-834-2495**Name (print/type) **Gerald L. Harris**

Registration No. (Attorney/Agent)

**36,158**

Signature

Date

**April 7, 1998**

# FEE TRANSMITTAL

Note: Effective October 1, 1997.  
Patent fees are subject to annual revision.

Complete if Known

Application Number	Unassigned
Filing Date	April 7, 1998
First Named Inventor	John R. Shutt
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	98B017/2

Total Amount of Payment (\$ 3,672.00

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:

Deposit Account Number: 05-1715

Deposit Account Name: Exxon Chemical Patents Inc.

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

## FEE CALCULATION

### 1. FILING FEE

Large Entity Fee Code	Fee Description	Fee Paid
101	790 Utility filing fee	\$790.00
106	330 Design filing fee	
107	540 Plant filing fee	
108	790 Reissue filing fee	
114	150 Provisional filing fee	

SUBTOTAL (1) \$790.00

### 2. CLAIMS

Large Entity Fee Code	Fee Description	Fee Paid
103	22 Claims in excess of 20	
102	82 Independent claims in excess of 3	
104	270 Multiple dependent claim	
109	82 Reissue independent claims over original patent	
110	22 Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) \$2,882.00

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code	Fee Description	FEES PAID
105	130 Surcharge - late filing fee or oath	
127	50 Surcharge - late provisional filing or cover sheet.	
139	130 Non-English specification	
147	2,520 For filing a request for reexamination	
112	920* Requesting publication of SIR prior to Examiner action	
113	1,840* Requesting publication of SIR after Examiner action	
115	110 Extension for reply within first month	
116	400 Extension for reply within second month	
117	950 Extension for reply within third month	
118	1,510 Extension for reply within fourth month	
128	2,060 Extension for reply within fifth month	
119	310 Notice of Appeal	
120	310 Filing a brief in support of an appeal	
121	270 Request for oral hearing	
138	1,510 Petition to institute a public use proceeding	
140	110 Petition to revive - unavoidable	
141	1,320 Petition to review - unintentional	
142	1,320 Utility issue fee (or reissue)	
143	450 Design issue fee	
144	670 Plant issue fee	
122	130 Petition to the Commissioner	
123	50 Petitions related to provisional applications	
126	240 Submission of information Disclosure Stmt.	
581	40 Recording each patent assignment per property (times number of properties)	
146	790 Filing a submission after final rejection (37 CFR 1.129(a))	
149	790 For each additional invention to be examiner (37 CFR 1.129(b))	
Other fee (specify) Filing a declaration		
Other fee (specify)		
* Reduced by Basic Filing Fee Paid		
SUBTOTAL (3)		\$ .00

Typed or Printed Name Gerald L. Harris

Reg. No. 36,158

Signature

Date April 7, 1998

Deposit Account User ID

SECTOR \$

<b>TRANSMITTAL FORM</b> <small>(to be used for all correspondence after initial filing)</small>		Application Number	09/056,289	
		Filing Date	April 7, 1998	
		First Named Inventor	John R. Shutt	
		Group Art Unit	1755	
		Examiner Name	Not Assigned	
Total Number of Pages in This Submission	12	Attorney Docket Number	98B017/2	
ENCLOSURES (check all that apply)				
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents) <input checked="" type="checkbox"/> Response to Missing Part/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund		<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Additional Enclosure(s) (please identify below): <u>Request for Corrected Filing Receipt</u>
REMARKS				
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT				
Firm or Individual name	Brent M. Peebles		Registration No.	38,576
Signature	<i>BMP</i>			
Date	May 22, 1998			
CERTIFICATE OF MAILING				
I hereby certify having information and a reasonable basis for belief that this correspondence will be deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: May 22, 1998				
Typed or printed name	Brent M. Peebles			
Signature	<i>BMP</i>	Date	May 22, 1998	